

# UNSTRUCTURED DATA UNIVERSITY AT BUFFALO

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# Sources of Unstructured Data

- Documents
- Reports
- Legions of Figures
- Tabular data names
- Field names in databases

# Some Datatypes are Only accessible from Unstructured Data

- Social Determinants of Health
- Signs and Symptoms
- Physical Exam findings
- Counseling
- Quality of Life
- Behavioral Data
- Street drug use
- Opinions

Clinical and Translational Science Institute

# Electronic Health records

- Began in the 1960's
  - HELP Utah
  - CoSTAR MGH
- Commercial Systems
  - Technicon from Lockheed 1963 developed for El Camino Hosopital used NIH clinical center – and later become TDS (Han Article)
  - Meditech 1969
  - 1977 MUM{PS was developed as a standard
  - 1979 Epic started as an outpatient system
  - 1979 Cerner which started as a lab system
  - 1980s Boston Beth Israel System
  - 1980 Regenstrief Institute of Indiana University
  - 1981 VA Distributed Hospital Computing Program
  - 1994 DHCP became VistA
  - 1994 CPRS
  - 2009 ARRA EHR Adoption





# Electronic Health records Functional Specification from HL7

POP.2       Support for Epidemiological Investigations of Clinical Health Within a Population       • Medication List         POP.3       Support for Notification and Response       • Problem List	T	Direct Core (DC)						
Info       POP.1       Support for Health Maintenance, Preventive Care and Wellness       Pople       Patient History         POP.2       Support for Epidemiological Investigations of Clinical Health Within a Population       Pople       Pople <th></th> <th>Direct Care (DC)</th> <th>)</th> <th>MACISTS K? I</th> <th></th> <th>CP.1</th> <th>Manage Clinical History</th> <th>55 COUNTRIES</th>		Direct Care (DC)	)	MACISTS K? I		CP.1	Manage Clinical History	55 COUNTRIES
POP.1 Support for Health Maintenance, Preventive Care and Wellness POP.2 Support for Epidemiological Investigations of Clinical Health Within a Population Medication List		Supportive (S)					Render Externally-sourced Information CP.1	Manage Clinical History
POP.1       Support for Health Maintenance, Preventive Care and Wellness       Pop.1       Pop.2       Pop.2       Support for Epidemiological Investigations of Clinical Health Within a Population       Population       Population		fo						e Patient History
			Support for Heal	lth Maintenar	e Allergy, Intolerance and Adverse Reaction			
POP.3       Support for Notification and Response       e Problem List         POP.4       Support for Monitoring Response Notifications Regarding a Specific Patient's Health       e Strengths List			Support for Epid	lemiological li	e Medication List			
POP 4 Support for Monitoring Response Notifications Regarding a Specific Patient's Health		POP.3	Support for Noti	ification and F				
		POP.4	Support for Mon	nitoring Respo	e Strengths List			
				<u> </u>				
Care Provision Support		POP.6			e Medical Equipment, Prosthetic/Orthotic,			
	(67)							
POP.8 De-Identified Data Request Management		POP.8						
POP.7       Public Health Related Opdates       Public Health Related Opdates       Public Health Related Opdates         POP.8       De-Identified Data Request Management       POP.9       Support Consistent Healthcare Management of Patient Groups or Populations		POP.9		· · · · · · · · · · · · · · · · · · ·	- 55 COUNTRIES			
POP.10 Manage Population Health Study-Related Identifiers				E & DAVERS				
POP.6 Measurement, Analysis, Research and Reports to Templates		-20		POP.6	Measuremer	nt, Anal	ysis, Research and Reports	
POP.6.1 Outcome Measures and Analysis on & Immunization Orders		No. of the local sector		POP.6.1	Outcome M	easures	and Analysis	on & Immunization Orders
		Example	child	POP.6.2				cation Interaction & Allergy Checking
		functions		POP.6.3		it Specific Dosing and Warnings		
Functions:       POP.6.3       Support for Process Improvement       It Specific Dosing and Warnings         POP.6.4       Support for Care System Performance Indicators (Dashboards)       ration Ordering Efficiencies		Tunctions	0.			s) cation Ordering Efficiencies		
cation Recommendations		03 50					ation Recommendations	
CPS.8 Support Patient Education CPS.4.3 Support for Non-Medication Ordering					CPS.8	Suppor	t Patient Education CPS.4.3 Support	for Non-Medication Ordering
CPS.9         Support Care Coordinati         CPS.4.4         Support Orders for Diagnostic Tests					CPS.9	Suppor	t Care Coordinati CPS.4.4 Support	Orders for Diagnostic Tests
CPS.4.5 Support Orders for Blood Products and Other Biolog					CEH	E	CPS.4.5 Support	Orders for Blood Products and Other Biologics



#### Best in KLAS: Software

Category	Recipient
Acute Care EMR (Large Hospital/IDN)	Epic EpicCare Inpatient EMR
Anesthesia	iProcedures iPro Anesthesia
Cardiology	Merge, an IBM Company, Cardio
Community HIS	MEDITECH C/S Community HIS (6.x)
Emergency Department	Wellsoft EDIS
Enterprise Resource Planning (ERP)	Premier PremierConnect ERP Solutions
Global (Non-US) Acute Care EMR	InterSystems TrakCare EPR
Global (Non-US) PACS	Sectra PACS
Global (Non-US) Patient Administration Systems	InterSystems TrakCare PAS
Health Information Exchange (HIE)	Epic Care Everywhere
Healthcare Business Intelligence & Analytics	Health Catalyst Analytics Platform
Homecare	Thornberry NDoc
Laboratory (Large Hospital/IDN)	Epic Beaker
Long-Term Care	MatrixCare
PACS (Large Hospital/IDN)	Sectra PACS
Patient Access	Experian Health eCare NEXT
Patient Accounting & Patient Management (Large Hospital/IDN)	Epic Resolute Hospital Billing
Patient Portals	Epic MyChart
Population Health	Enli CareManager i2i Population Health i2iTracks
Speech Recognition—Front-End	MModal Fluency Direct
Surgery Management	Cerner Surgical Management
VNA/Image Archive	Merge, an IBM Company, iConnect Enterprise Archive



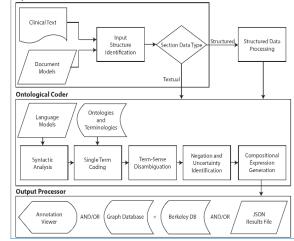
High Performance Computing and Natural Language Understanding Peter L. Elkin<sup>1</sup>, Daniel R Schlegel<sup>2</sup>, Christopher Crowner<sup>1</sup>, Frank LeHoullier<sup>1</sup> <sup>1</sup>Department of Biomedical Informatics, University at Buffalo, SUNY, Buffalo, NY USA <sup>2</sup>SUNY Oswego, New York USA

## Introduction

Big data is expanding exponentially. We are looking at housing, processing, analyzing and retrieving Petabytes of data every day. With the advent of Genomic and Proteomic data we are increasingly challenged with understanding the patient's phenotype with greater specificity and detail. This is going to require developing and applying ontology at a more granular and consistent fashion.

## Methods

The UB Center for Computational Research (CCR) is an NSF sponsored supercomputing facility where we can scale to 16,000 nodes. We have a large number of high memory (>64GB) nodes. We installed a script to access the CCR scheduling application and deployed our HTP application (See Figure 1).

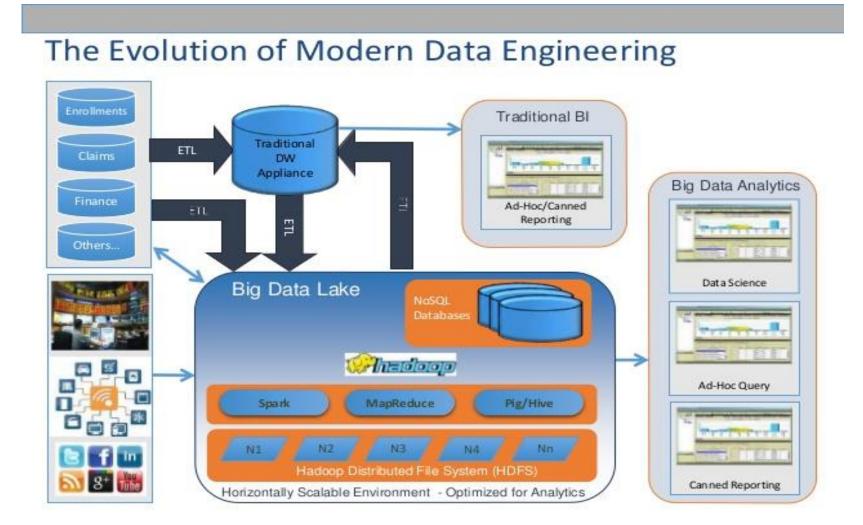




## Results

We have 212,343 patients in our observational database. We have 7,000,000 clinical notes and reports and they have generated 750,000,000 SNOMED CT codes. Structured data are held in SQLServer<sup>™</sup> in OMOP / OHDSI format. The ontology codes such as in SNOMED CT are held in a Berkley DB, NOSQL database. The compositional expressions are held in Neo4J (a graph database) and also in Graph DB (a triple store). Our retrieval times for real clinical questions average between 2 and 3 seconds. ..........

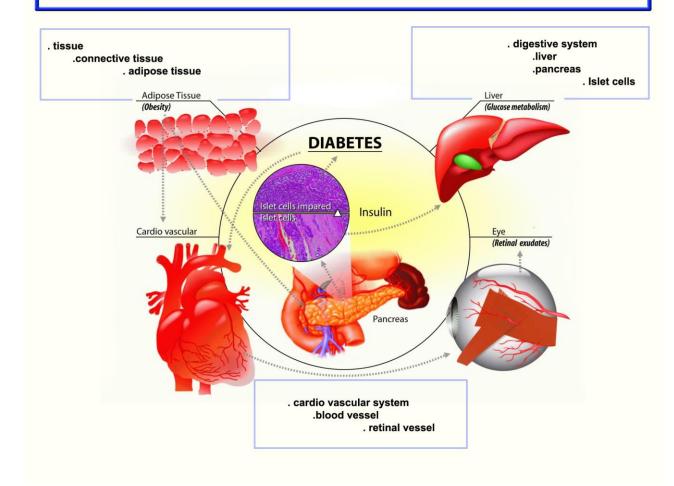
# Observational Data are formatted for OMOP (OHDSI) and i2b2





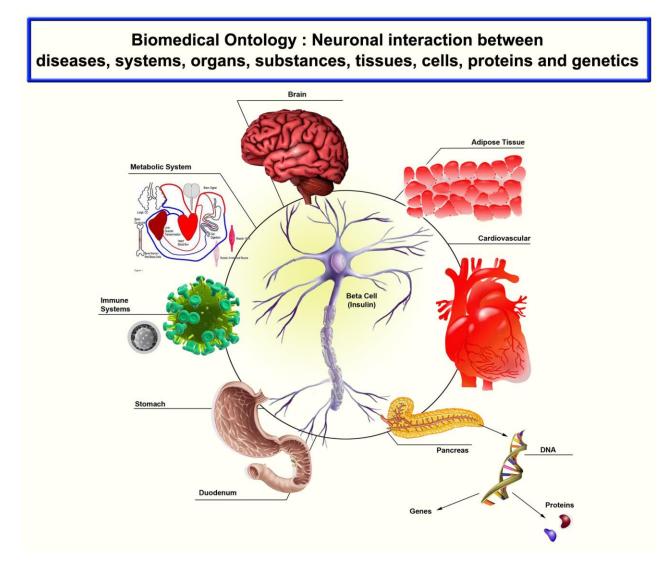


Medical Ontology : Relationships between diseases, disorders, & systems, organs and tissues





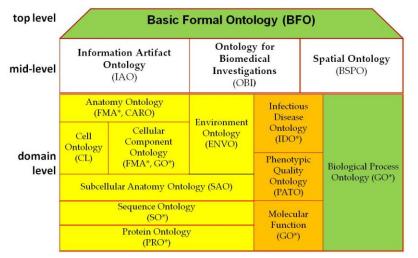








# $\begin{array}{l} \underline{Basic\ Formal\ Ontology\ (BFO)}\\ \hline Defines\ the\ high-level\ structures\ common\ to\ all\ domains\\ \hline Connects\ \rightarrow\ Health\ -\ Basic\ Science\ -\ Finance\ \&\ Engineering\\ \end{array}$



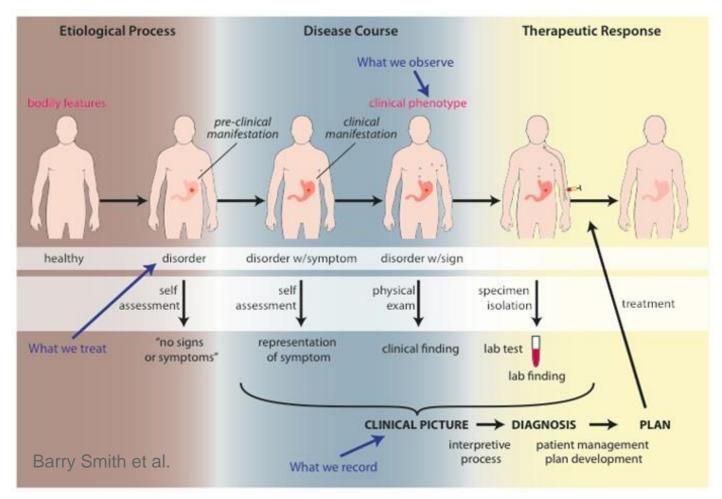
Ceusters W, Elkin P, Smith B. Negative findings in electronic health records and biomedical ontologies: a realist approach. Int J Med Inform. 2007 Dec;76 Suppl 3:S326-33.

- Cell Ontology (NHGRI, NIAID)
- eagle-i and VIVO (NCATS)
- Environment Ontology (GSC)
- Gene Ontology (NHGRI)
- IDO Infectious Disease Ontology (NIAID)
- Nanoparticle Ontology (PNNL)
- Ontology for Risks Against Patient Safety (EU)
- Ontology for Pain, Mental Health and Quality Of Life (NIDCR)
- Plant Ontology (NSF)
- Protein Ontology (NIGMS)
- Translational Medicine Ontology (W3C)
- US Army Biometrics Ontology (DOD)
- Vaccine Ontology (NHBLI)





## **Ontology of General Medical Sciences (OGMS)**





# Level Three Ontology

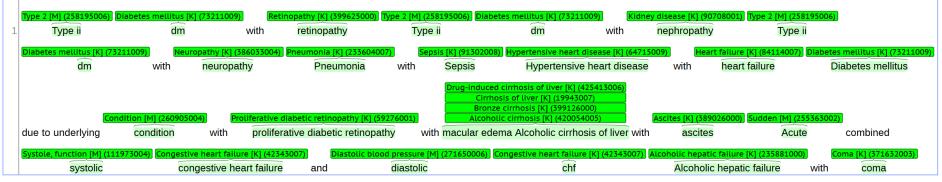
- Fully Encoded Health Record
- Consistent with the Level One and Two Ontologies for Health
- Compositional Expressions are assigned Automagically
- Information is gathered through the usual documentation of patient care.
- Example.....

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#### SNOMED Codes:



#### **Compositional Expressions:**

1	Type 2 [M] (258195006) Type ii	Diabetes mellitus [K] (7 dm	3211009) Retine with	ppathy [K] (399625000) retinopathy	Type 2 [M] (25819500 Type ii	6) Diabetes mellitus [K] (732: dm		tisease [K] (90 nephropathy	708001) Type 2 [M] (258 Type ii	
	Diabetes mellitus [K] (7)		thy [K] (386033004) F neuropathy	Pneumonia [K] (2336040 Pneumonia	07) Sepsis [K] ( with Sep	91302008) Hypertensive hear isis Hypertensiv	t disease [K] (6471500 /e heart disease	9) Hear with	t failure [K] (84114007) heart failure	Diabetes mellitus [K] (73211009) Diabetes mellitus
	Co	ndition [M] (260905004)	hasModifier hasModifier hasModifier hasModifier	oliferative diabetic retir	nopathy [K] (5927600)	Cirrhosis of li Bronze cirrho	is of liver [K] (4254130 ver [K] (19943007) sis [K] (399126000) osis [K] (420054005)		cites [K] (389026000) Su	idden [M] (255363002))
	due to underlying Systole, fun	condition	with Congestive heart failur	proliferative diabe	etic retinopathy	with macular edema Alo	/e heart failure [K] (42		ascites holic hepatic failure [K]	Acute (235881000)
	combined Coma [K] (371632003) coma	systolic	congestive hea	art failure and	diast	blic	chf		Alcoholic hepatic fa	illure with

......



#1 Chest pain

Patient is a 57-year old gentleman with a 80-pack-year smoking history. He has a family history of early coronary disease on his father's side, as his father had a heart attack at age 43. Patient does not exercise very much. He drinks 2 ounces of alcohol a day. He has type ii diabetes mellitus, hypertension, nor does he know his cholesterol level. Patient was in his usual state of health until 2 months ago when he began having exertional dyspnea and chest pain at peak exercise. Patient could walk 4 blocks and up 2 flights of stairs before he would have crushing substernal chest pain, which radiated to his left arm. On a scale of 0 to 10, it was as bad as 8 out of 10. Patient had some diaphoresis and dyspnea associated with the chest pain. He would sit down and this would be relieved after about 15 minutes. Patient has taken it upon himself to limit his activities based on this symptomatology. Patient has an interest in quitting smoking. He denies palpitations, syncope, pre-syncope, PND, or orthopnea. Patient has had no peripheral edema or shortness of breath at rest. He has had no episodes where the pain lasted greater than one-half hour.

#### #2 Right knee pain

Patient has had an 8-year history of right knee pain. Patient works as a construction worker and had a fork lift injury 8 years ago. Since that time, he has had more difficulty getting around on his right knee. It pops occasionally, but it never locks. It has not given out on him, but he has constant pain for which he takes ibuprofen on a regular basis. Patient used to be an avid golfer, but he has not been able to participate since the injury. This has also effected his work, as he has had difficulty climbing which is sometimes required in his profession.

#### #3 Nicotine dependence

Patient smokes a pack a day and has a 80-pack-year smoking history. He was smoking less than this until last year. Patient states his stress at work is the factor that has caused an increase in smoking, and he will be willing to see the Nicotine Dependence Center. In the past, he has tried to quit on his own without help of nicotine patches or any other nicotine replacement or Wellbutrin.

#### #4 Obesity

Patient is somewhat overweight and has had difficulty losing weight despite being a smoker. Patient has tried dieting and exercising programs, but since his inability to exercise with the right knee injury, he has had more difficulty with exercise and has not been able to lose weight. Patient states he watches his diet quite closely and has been limiting his caloric intake. To that end, he has actually lost 8 pounds over the last 6 months.

#### #5 Diabetes Mellitus Type ii

Patient denies polyuria and polydipsia however he is well controlled with Levemir Insulin 28 U SQ bid and Metformin 1000 mg bid. He has peripheral diabetic neuropathy, nephropathy and retinopathy.



# Physical Examination (Relevant Sections)

- Extremities Without clubbing, cyanosis, or edema. + Neuropathy with 3+/5+ loss of sensation in both feet to the ankle.
- Neuro Cranial nerves 2 through 12 were intact. Visual fields were within normal limits. Pupils were equal and reactive to light and accomodation. Sensation was intact and bilaterally symmetric in his arms but a loss of sensation was found in his feet using a microfilliment examination. Motor was 5+/5+ bilaterally symmetric. Deep tendon reflexes were 2+/2+ and were symmetric bilaterally. Romberg was normal. Cerebellar signs were absent. Babinski was down going bilaterally.

## History Encoded in SNOMED CT



# History

Dial # 5	Diabetes Mellitus Type 2 [K] (44054006 Diabetes Mellitus Type ii			[K] (28442001) Diyuria and		dipsia [K] (159450) /dipsia	however he is well controlled with Levemi	Insulin [K] (67866001) r Însulin	28
Lower ca	ase Roman letter u [M] (257999003) Û	Subcutaneous [M] (26388700 SQ	bid and	etformin [K] (109081 Metformin	.006) (millig 1000	gram [M] (2586840 mg	) <mark>4)</mark> bid .		
He has	Peripheral [M] (14414005) Diabet	ic neuropathy [K] (230572002) diabetic neuropathy		ase [K] (90708001) hropathy a	Retinopathy [ and retino	<mark>k] (399625000)</mark> pathy . ,			





### Assessment of Intranasal Glucagon in Children and Adolescents With Type 1 Diabetes

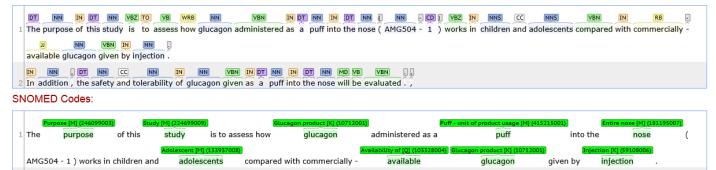
The purpose of this study is to assess how glucagon administered as a puff into the nose (AMG504-1) works in children and adolescents compared with commercially-available glucagon given by injection. In addition, the safety and tolerability of glucagon given as a puff into the nose will be evaluated.

Part-of-Speech:

2 In addition , the safety and tolerability of

glucagon

given as a



puff

into the

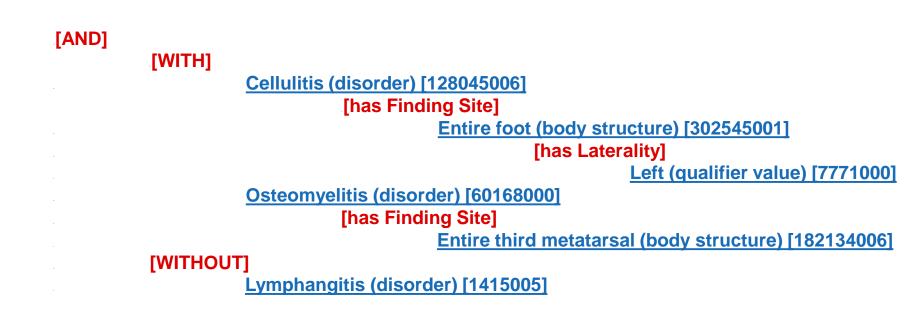
nose

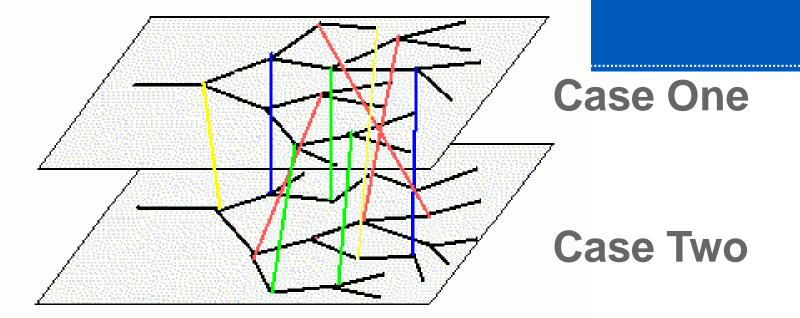
will be evaluated .

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# Rational Knowledge Representation

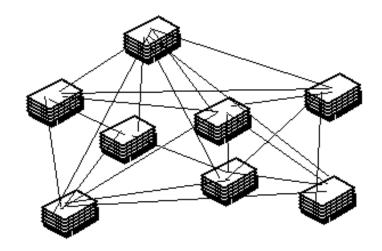
Cellulitis of the left foot with Osteomyelitis of the Third metatarsal without Lymphangitis

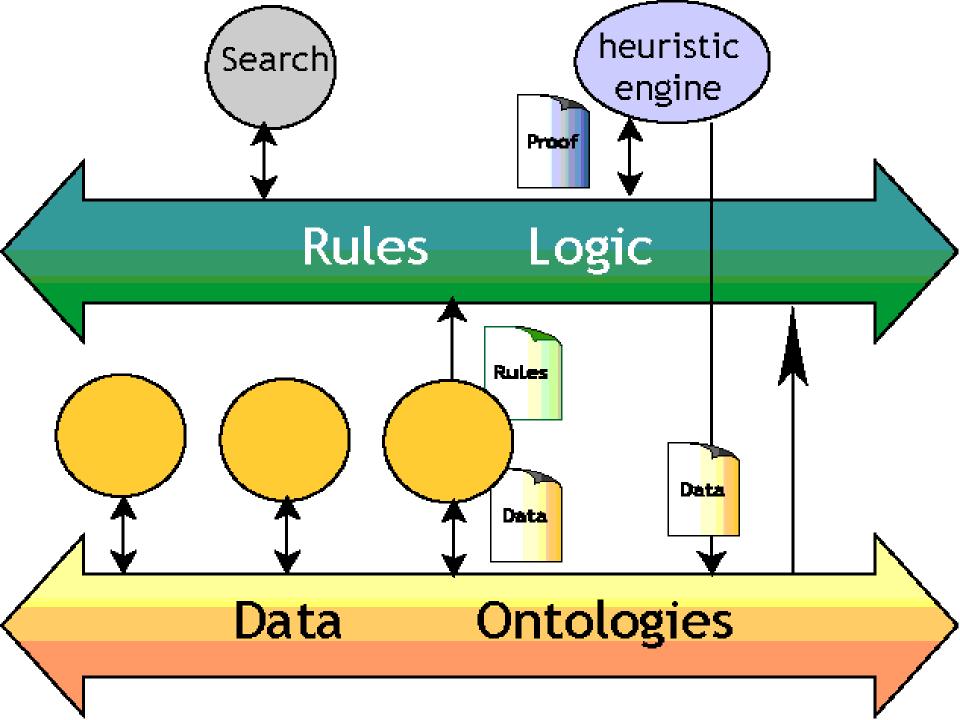


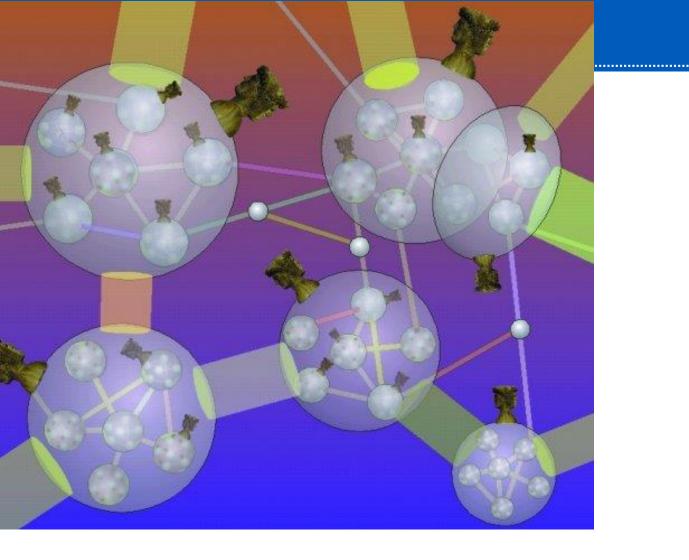


Semantic Network

Multi-Center Data Sharing and Interchange







# **Intelligent Agents**



# The Evolution of Healthcare

#### Key Areas of Synergy Evolution of evidence base for precision medicine and implementation science Recognition of underuse and overuse of interventions Management of abundance of data

IMPLEMENTATION SCIENCE TION

Optimal integration of effective diagnosis, prevention, and treatment Understanding of multilevel context Theories and strategies to drive health care improvement

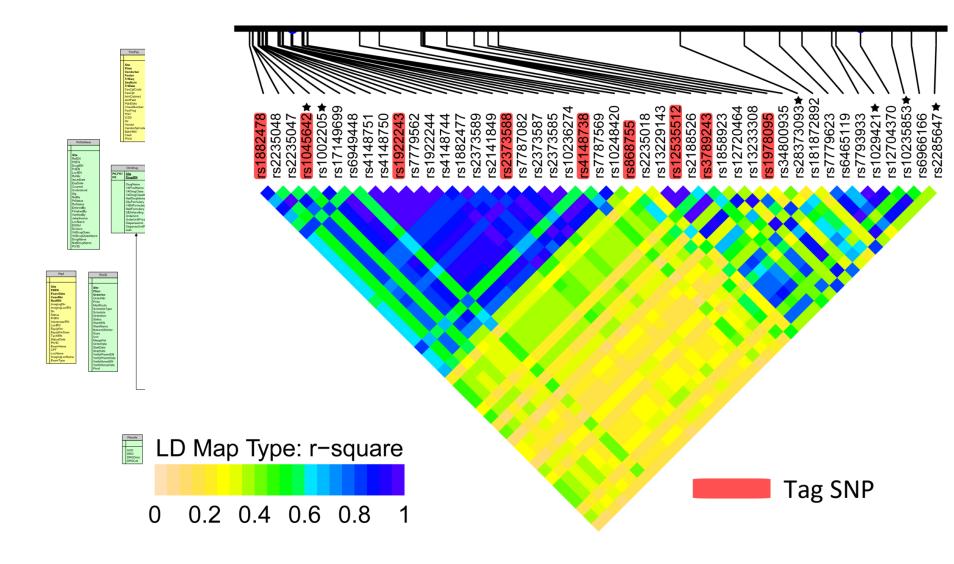
Improved health, health care, and health systems

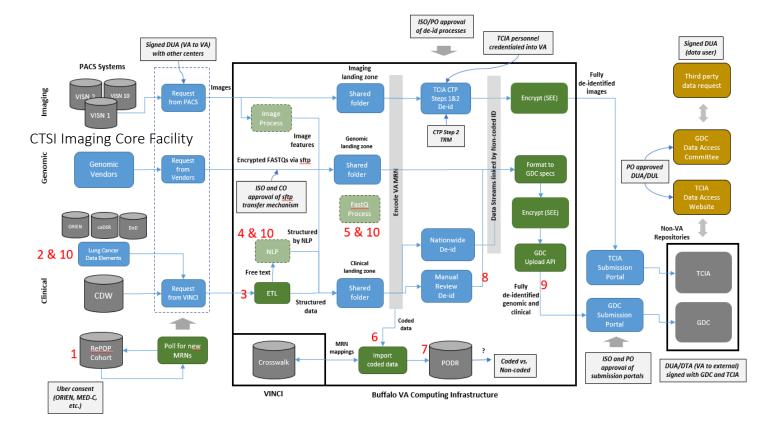
PRECISION

Key Areas of Synergy Support for implementation of effective practices Contextually sensitive improvement of practices

LEARNING HEALTH CARE SYSTEM Optimal use of genomics and behavioral data to drive clinical and patient decision making Ongoing development of genomics evidence base Personalized and population impact

Key Areas of Synergy Refresh cycle of evidence base Determination of degree of achievable personalization of care

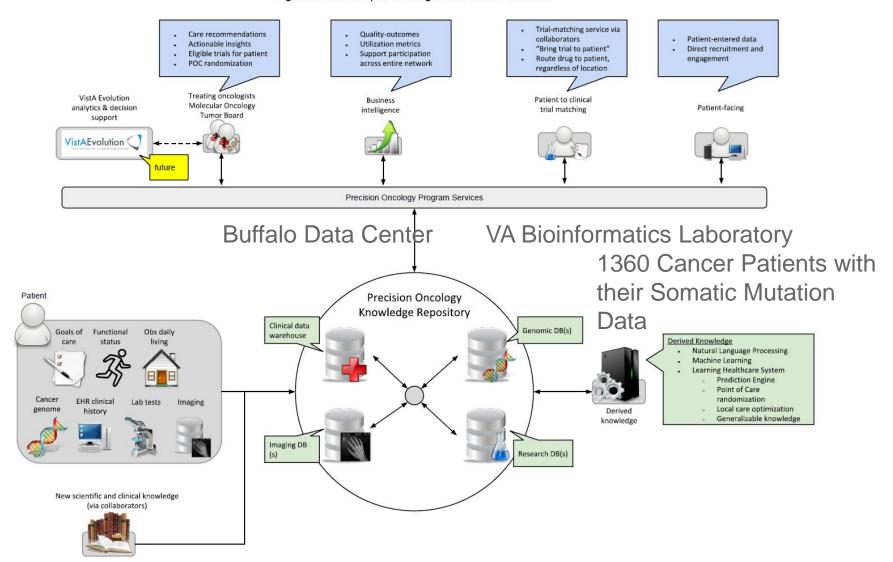
Use of ongoing data to drive health system improvement Focus on iterative and ongoing learning All stakeholders participate 



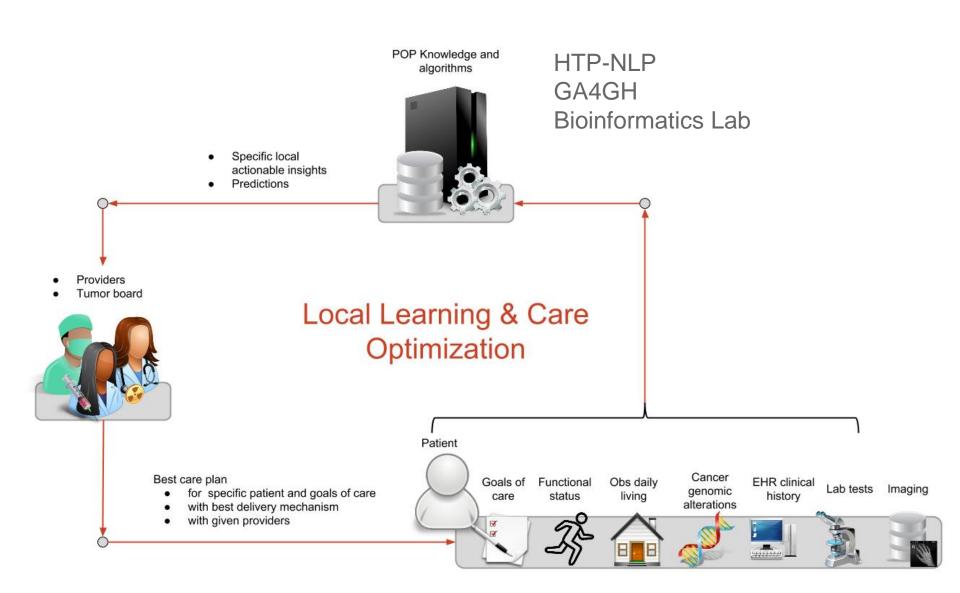
### **CTSI Biomedical Informatics Core Facility Architecture**

# Precision Oncology (POP) – Big Picture

MAVERIC Precision Oncology Program High Level Conceptual Design with VistA Evolution

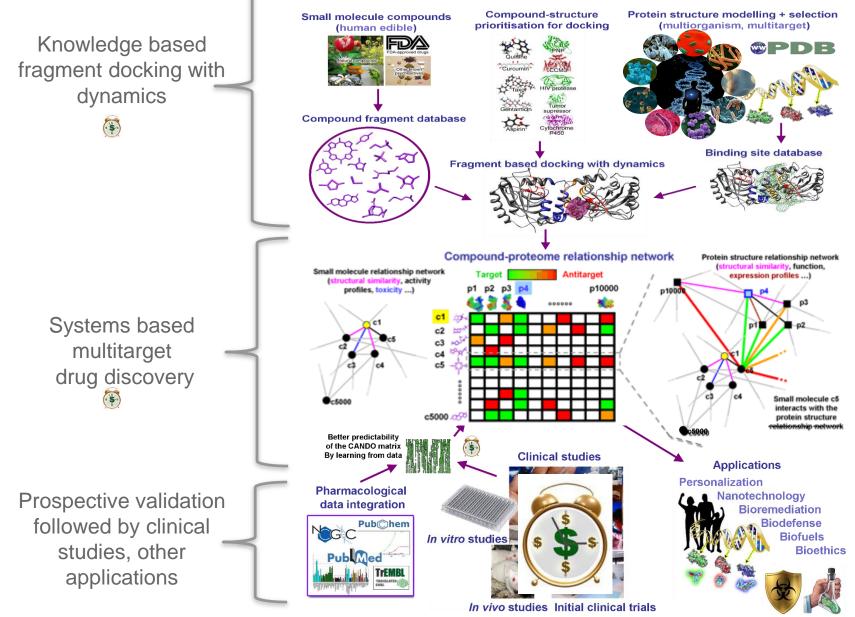


# Learning Healthcare System Model



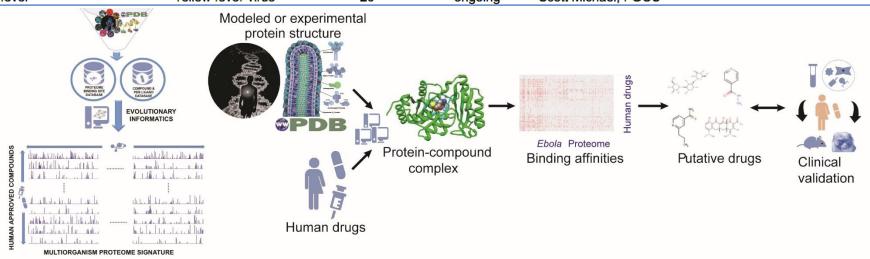


## SHOTGUN MULTITARGET DRUG DISCOVERY PIPELINE



### Uni Arsi ya: Da Eio **B** University at Buffalo The State University of New York Clinical and Translational Science Institute

		Validations	Hit rate (current)		Reference
Indication	Putative primary cause	(total)	[ <b>★</b> = in vivo]	Source / Collaborator	(or TBP)
Diabetes mellitus type 1	autoimmune, genetic	10	1/1 ★	Gaurav Chopra, UCSF	TBP
Dental caries	S. mutans	10	10/10	Jeremy Horst, UCSF	[5, 22], TBP
Dengue fever	Dengue virus	31	11/27	Scott Michael, FGCU	TBP
Herpes	HSV, CMV, KSHV (all)	29	6/29	Michael Lagunoff, UW; ImQuest Biosciences, Inc.	TBP
MDR Tuberculosis	M. tuberculosis	17	4/8	Michael Strong, NJHC	TBP
Systemic lupus erythematosus	autoimmune	≈20	1/1	Keith Elkon, UW	TBP
PB cirrhosis	HBRV	≈20	12 / 12	Andrew Mason, U. Alberta	TBP
Hepatitis B	Hepatitis B virus	31	3 / 31	ImQuest Biosciences, Inc.	[14], TBP
Flu	Influenza A virus	24	0 / 24	ImQuest Biosciences, Inc.	[14], TBP
AIDS	HIV 1 & 2	≈40	ongoing	James Mullins, UW	
Diabetes mellitus type 2	metabolic, genetic	≈80	ongoing	Jay Heinecke, UW	
Cholangiocarcinoma	neoplastic disorder	40	ongoing	Natini Jinawath, Ramathibodi Hospital, Thailand	
Ebola hemorrhagic fever	Ebola virus	≈40	ongoing	Michael Katze, UW	
Flu	Influenza viruses	≈40	ongoing	various	
Hepatitis C	Hepatitis C virus	≈20	ongoing	Lorne Tyrell, U. Alberta	
MDR Tuberculosis	M. tuberculosis	40	ongoing	Prasit Palittapongarnpim, Mahidol U, Thailand	
Soft tissue infections	P. aeruginosa	≈40	ongoing	Pradeep Singh, UW	
Yellow fever	Yellow fever virus	≈20	ongoing	Scott Michael, FGCU	



UPDATE: 58/163 (~36%) across 12 studies and 10 indications; first failure with infuenza.

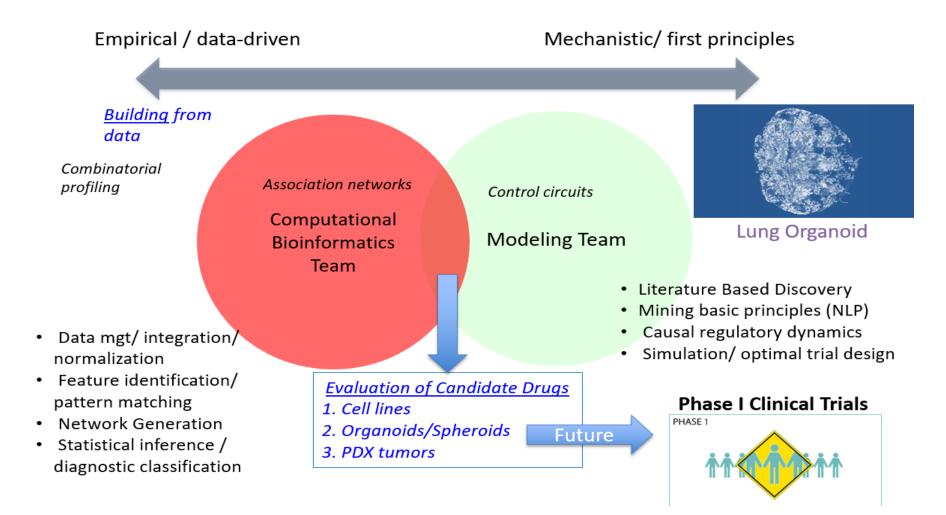
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## HTP-NLP & CANDO / CANDOCK

Structure and Clinical Structural: Functional: Function = Accurate Proteome and Metabolome Predictions => Bench Small Validations B Modeled or experimental protein structure Libran EVOLUTIONARY and INFORMATICS Ebola Proteome Mr. A LULAL M. D.Y. added when do Protein-drug ligand Matrix of binding affinities Top novel predictions Alth. Lalbertal sie. A. J. N. U. J. & L Ram Samudrala, PhD althe dealer and the state to said the soul of the shar le ant 1. Jan Hall Drug or other ligand dist. MULTICOCALIEN BROTEOME SIGNATUR nis Angrogenesis Ligard @ lg-like domain

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## **Computational to Validation Components**





# Healthcare Value

- Value = Quality / Cost
- Quality is composed of:
  - Outcomes
  - Safety
  - Service
    - Reliability

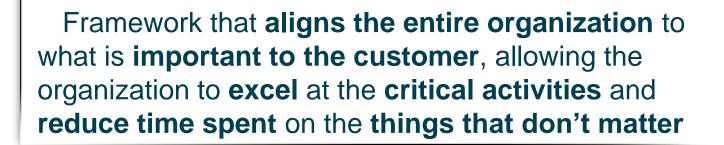


**Measuring Strategic Performance** 

# "You can't manage what you can't measure. You can't measure what you can't describe"

## **Robert Kaplan and David Norton** Authors of "The Balanced Scorecard"

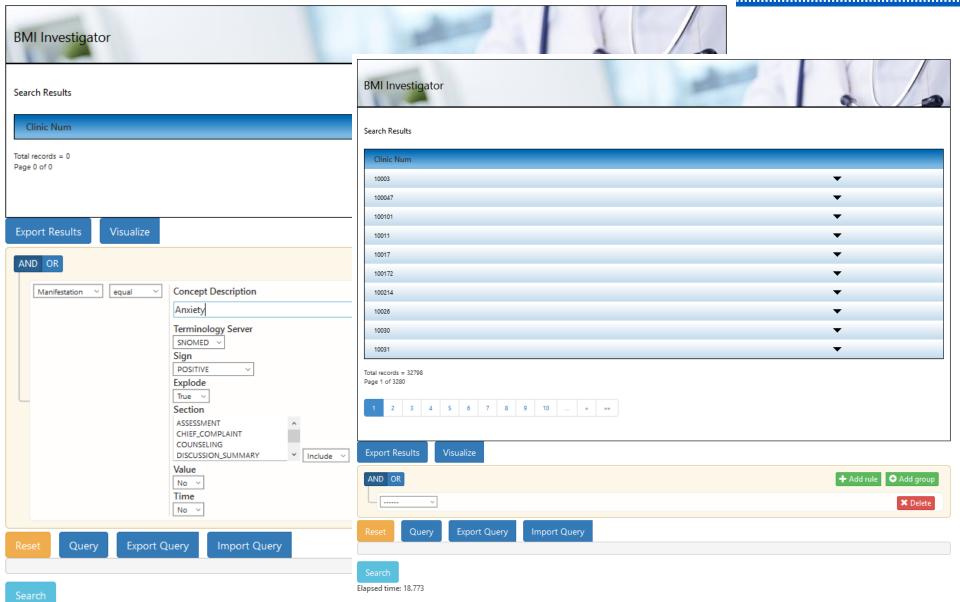






# Clinical and Translational Science Institute

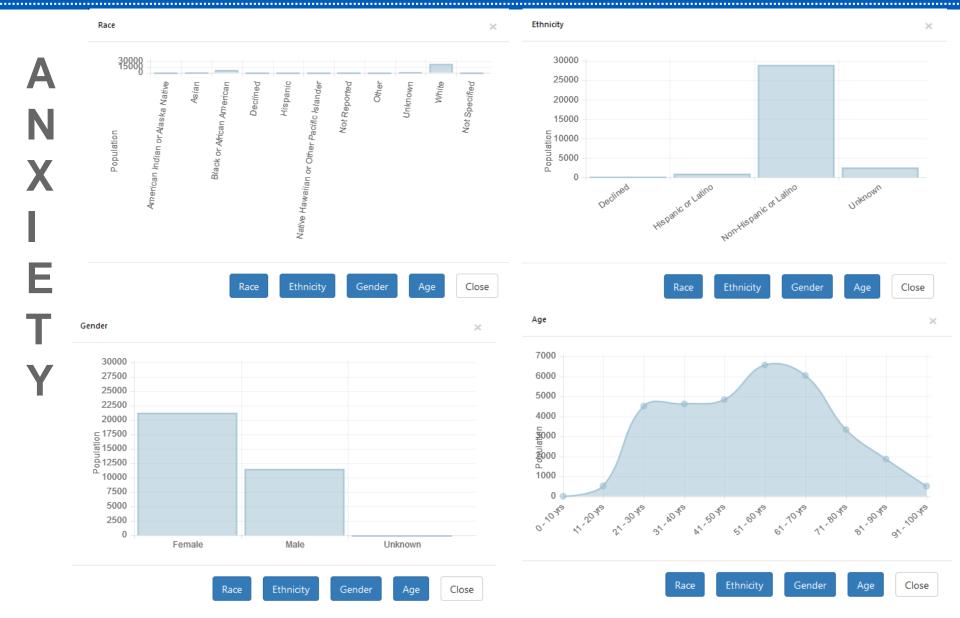




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## Clinical and Translational Science Institute



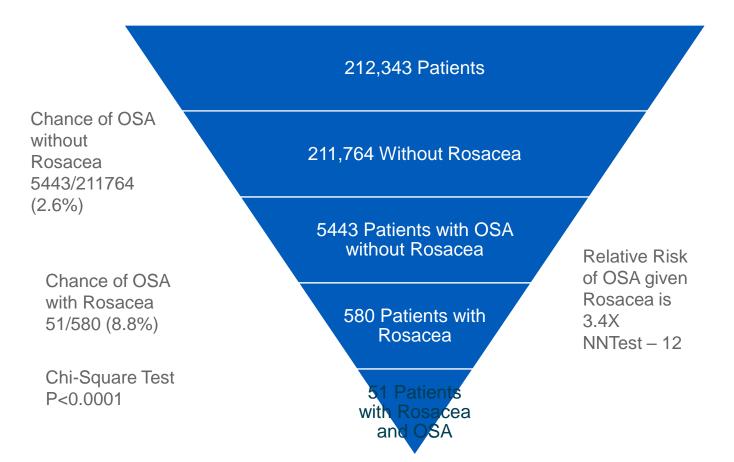


## Social Determinants of Health





Study: Are patients with Rosacea at increased risk of having Obstructive Sleep Apnea?

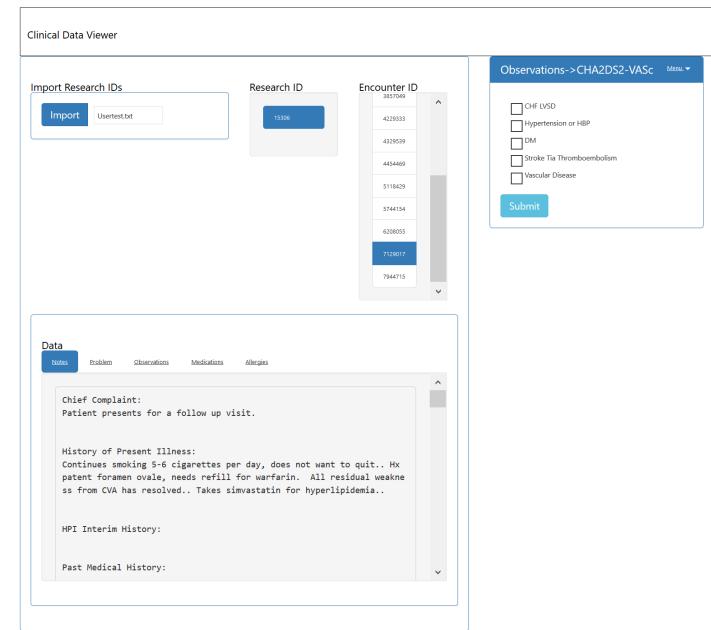


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## Clinical Predication Rule Validation Engine

Electronic Health Record across all EHRs by using a common observational model (OMOP / OHDSI)

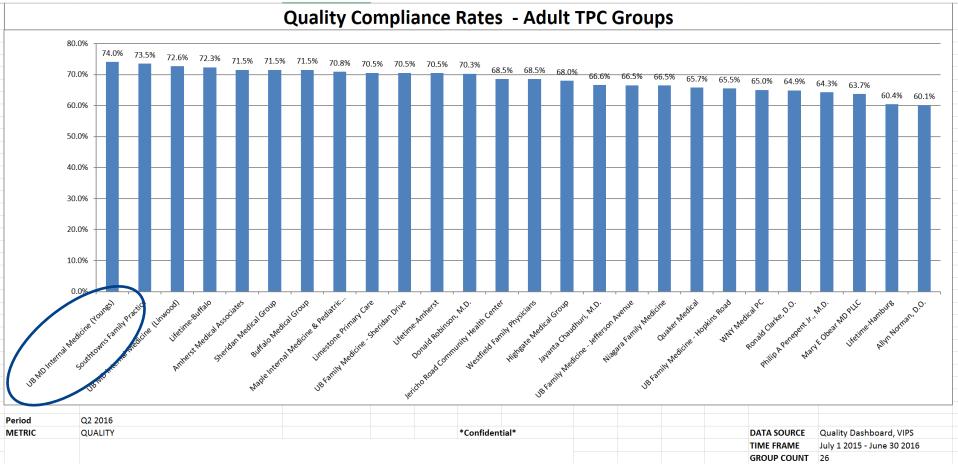


# **Quality Accomplishments**

- Improved Quality of Care
  - Metrics and Measurement of Practice Outcomes
  - Patient Centered Medical Home
  - Quality Improvement Project Registry
  - Improved outcomes in Payer Measures
- Improvement in Internal Referrals
  - Went from 54% to 82% Internal Referrals
- DOM Strategic Plan Implementation
  - Quality Tools
  - Quality Structures
  - Support of New Multispecialty Clinical and Research Centers

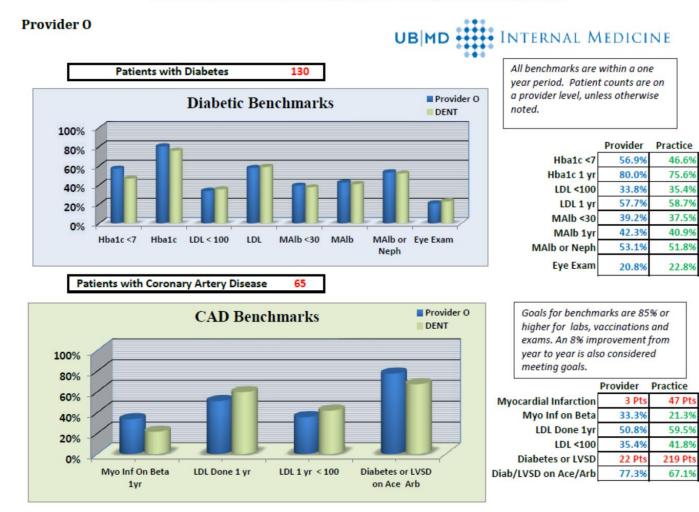
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## From third to the last to the best in IHA Quality metrics





### Internal Medicine Provider Report Cards for Target Patient Populations





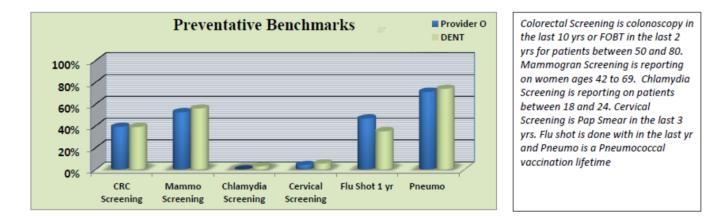
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### Internal Medicine Provider Report Cards for Target Patient Populations

### **Provider O**

## UB|MD INTERNAL MEDICINE

Patients Eligible for CRC Sreening	496	Patients Eligible for Mammo Sreening	423
Patients Eligible for Cervical Screening	584	Patients Eligible for Chlamydia Sreening	54
Patients Eligible for Flu Shot	957	Patients Eligible for Pneumo Shot	264

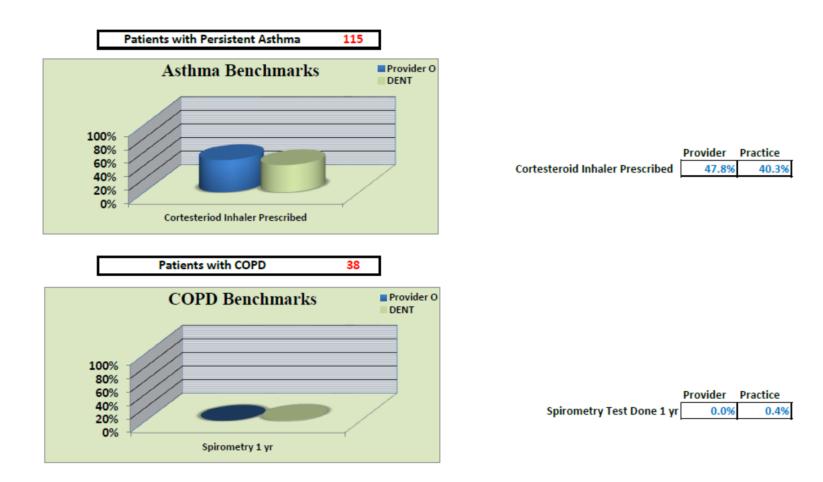


	Provider	Practice
CRC Screening	39.1%	39.0%
Mammo Screening	52.5%	56.0%
Chlamydia Screening	0.0%	2.9%
Cervical Cancer Screening	4.1%	5.4%
Flu shot 1yr	46.7%	35.0%
Pneumo	71.2%	74.0%





Internal Medicine Provider Report Cards for Target Patient Populations

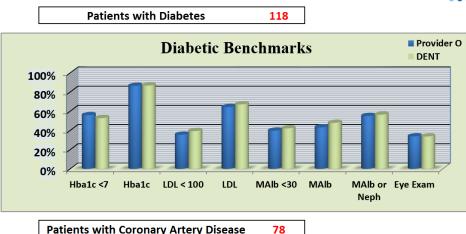




**Internal Medicine Provider Report Cards for Target Patient Populations** 

**UB**MD

### **Provider O**

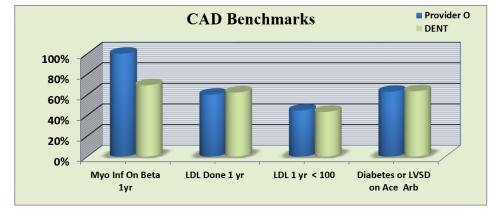


All benchmarks are within a one
year period. Patient counts are on
a provider level, unless otherwise
noted.

INTERNAL MEDICINE

	Provider	Practice
Hba1c <7	<b>55.9</b> %	<b>52.8%</b>
Hba1c 1 yr	<b>86.4</b> %	86.8%
LDL <100	35.6%	39.4%
LDL 1 yr	64.4%	67.2%
MAlb <30	<b>39.8</b> %	42.2%
MAlb 1yr	<b>43.2</b> %	<b>47.9</b> %
MAlb or Neph	55.1%	56.4%
Eye Exam	33.9%	33.8%

**Patients with Coronary Artery Disease** 



Goals for benchmarks are 85% or				
higher for labs, vaccinations and				
exams. An 8% improvement from				
year to year is also considered				

	Provider	Practice
Myocardial Infarction	1 Pts	13 Pts
Myo Inf on Beta	100.0%	69.2%
LDL Done 1yr	60.3%	62.3%
LDL <100	44.9%	43.6%
Diabetes or LVSD	27 Pts	206 Pts
Diab/LVSD on Ace/Arb	63.0%	63.6%

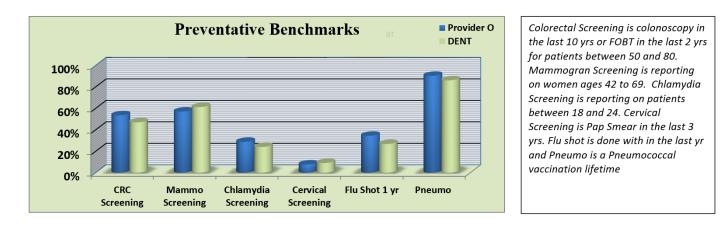


### **Internal Medicine Provider Report Cards for Target Patient Populations**

**Provider O** 

## UB|MD INTERNAL MEDICINE

Patients Eligible for CRC Sreening	637	Patients Eligible for Mammo Sreening	486
Patients Eligible for Cervical Screening	699	Patients Eligible for Chlamydia Sreening	59
Patients Eligible for Flu Shot	1186	Patients Eligible for Pneumo Shot	361

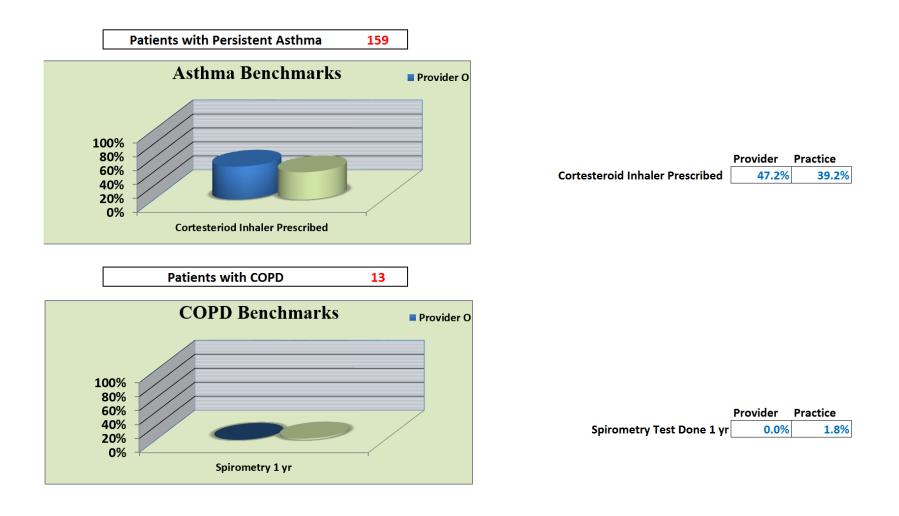


	Provider	Practice
CRC Screening	53.5%	<b>47.0</b> %
Mammo Screening	57.0%	<b>61.2</b> %
Chlamydia Screening	28.8%	24.1%
Cervical Cancer Screening	7.7%	9.1%
Flu shot 1yr	34.5%	<b>26.8</b> %
Pneumo	90.3%	86.1%

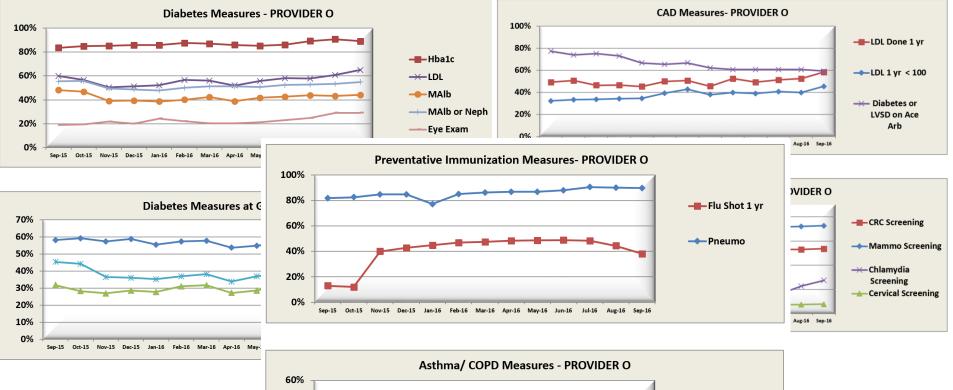


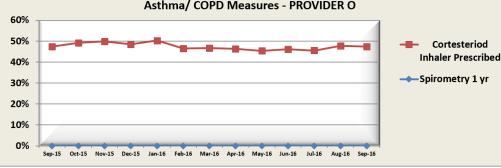


**Internal Medicine Provider Report Cards for Target Patient Populations** 



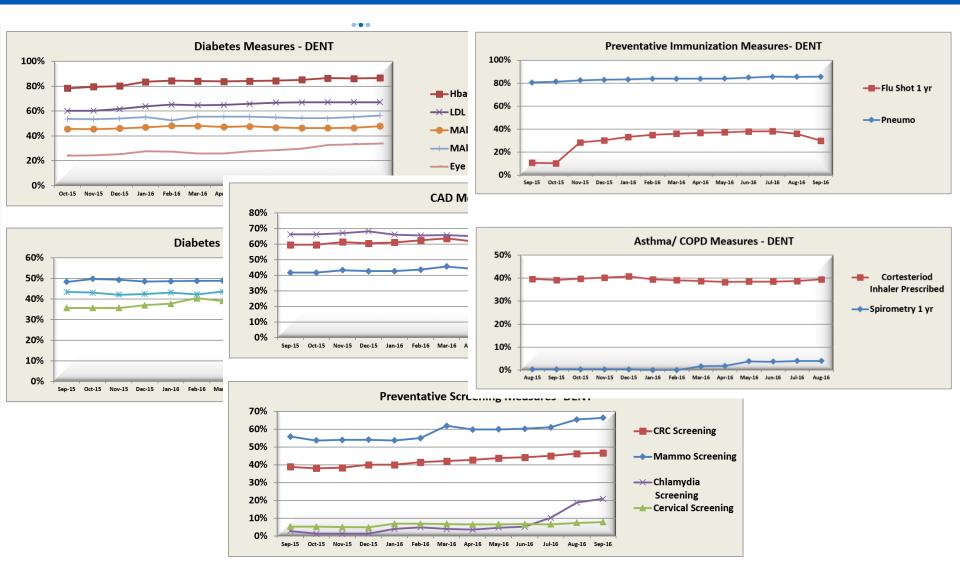
# al Science Institute **The State University of New York**





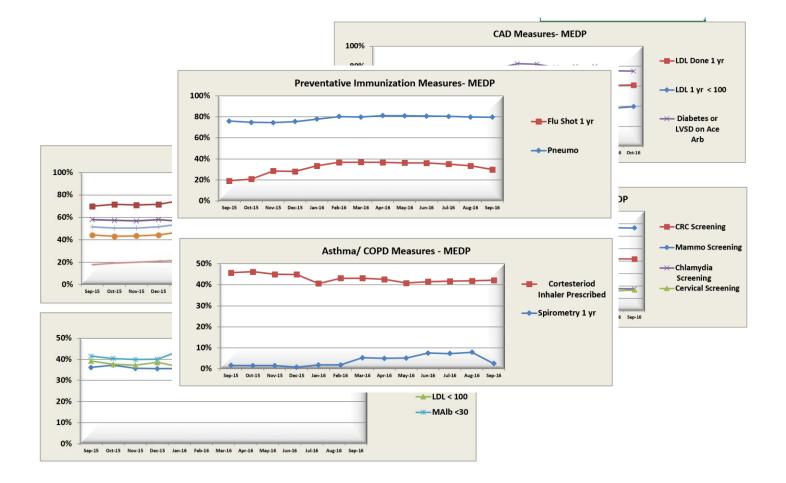
## Clinical and Translational Science Institute







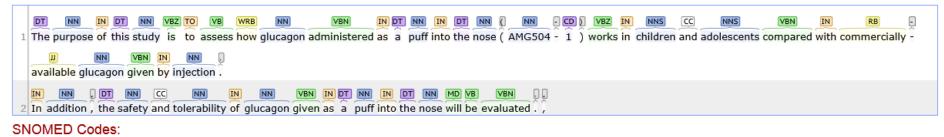




## Assessment of Intranasal Glucagon in Children and Adolescents With Type 1 Diabetes

The purpose of this study is to assess how glucagon administered as a puff into the nose (AMG504-1) works in children and adolescents compared with commercially-available glucagon given by injection. In addition, the safety and tolerability of glucagon given as a puff into the nose will be evaluated.

### Part-of-Speech:





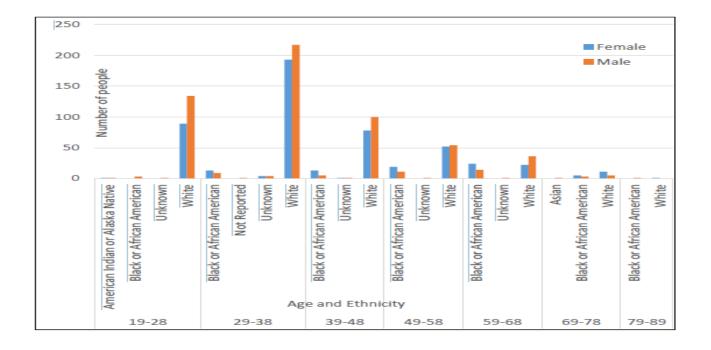
# Prescription Opioid Dependence in Western New York: Using Data Analytics to find an answer to the Opioid Epidemic

Shyamashree Sinha, Gale R Burstein, Kenneth E Leonard, Timothy F Murphy, Peter L Elkin

Department of Biomedical Informatics/ Department of Anesthesiology Jacobs School of Medicine and Biomedical Sciences, University at Buffalo, The State University of New York, Buffalo, New York

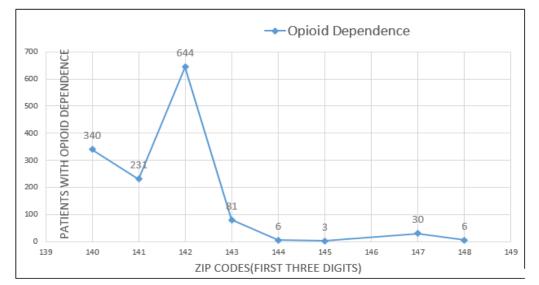


# Distribution of Opioid Dependence among the Non-Hispanic community in the clinic population of Western New York



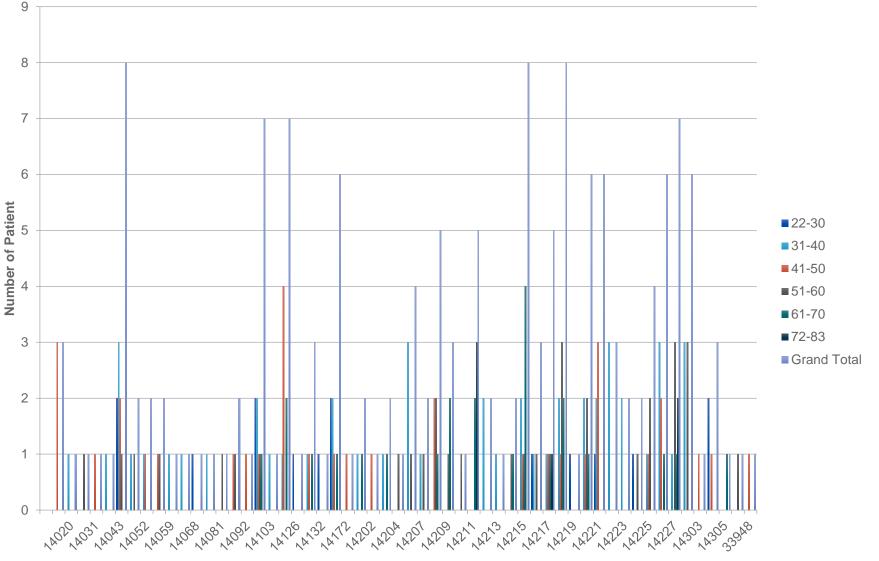


# Distribution of Opioid Dependence based on geographical location



The distribution of the patients based on the first three numbers of the zip code showed area 142 had the highest number of opioid dependent population

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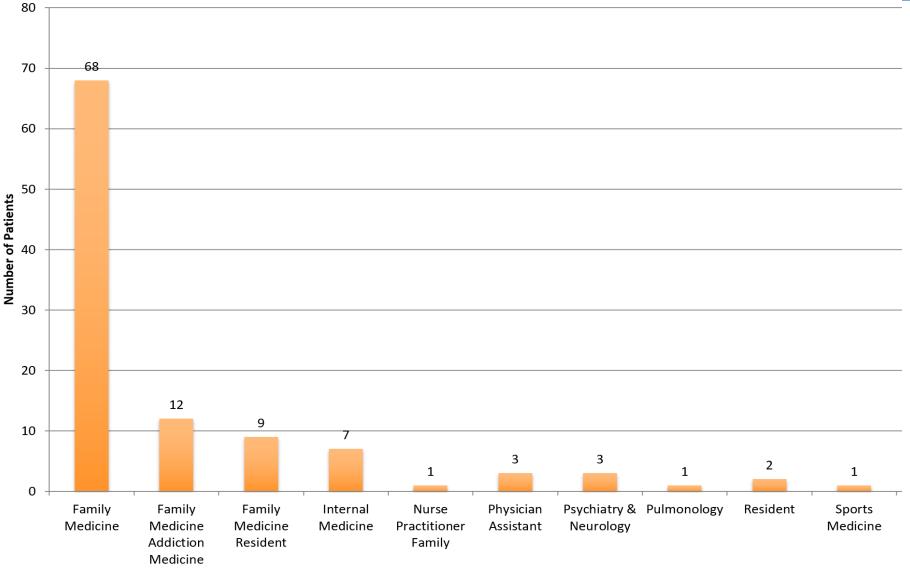


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Zipcode

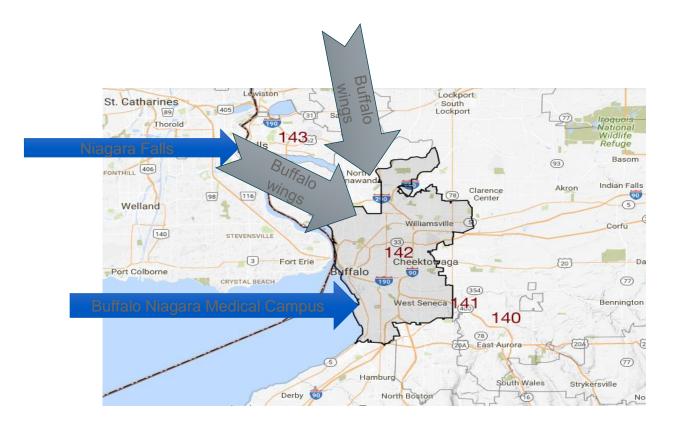
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**Specialty of Prescribing Practitioner** 





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Map showing boundaries of area with zip code 142: https://www.maptechnica.com/zip3-prefix-map/142

# AI AND NATURAL LANGUAGE **PROCESSING (NLP) TO ENHANCE STRUCTURED DATA'S ABILITY TO IDENTIFY** NONVALVULAR ATRIAL **FIBRILLATION PATIENTS AND** THEIR STROKE AND BLEEDING RISK Peter L. Elkin, MD, MACP, FACMI, FNYAM

For the NVAF Surveillance Study team



# Goal of the study

 The goal of this study is to compare clinician-rated stroke and bleed risk assessments in Nonvalvular Atrial Fibrillation (NVAF) patients with assessments utilizing NLP derived codified EHR data for CHA<sub>2</sub>DS<sub>2</sub>-VASc and HAS-BLED scores.

## **Research Questions**

- Research Question: 1
- What is the accuracy of using structured data (ICD and CPT and Medication codes) alone vs. unstructured (ie, Clinical notes and reports, labs and Medications) plus structured data to identify patients who have Atrial Fibrillation?
- Objectives:
- Compare structured data to structured and unstructured data using NLP to identify NVAF Patients - validated by clinician assessment

# **Research Question 4**

Does the method (using structured data only vs. structured plus unstructured data) of determining risk scores affect the treatment of NVAF patients for stroke prevention with OAC?

## **Objectives:**

- 1. Using structured and unstructured data assessments of CHA<sub>2</sub>DS<sub>2</sub>-VASc, HAS-BLED scores and contraindications for OAC, classify the patient cohorts as follows and compare the treatment rates with OAC.
  - 1. Would benefit and are on OAC;
  - 2. Would benefit but are not on OAC;
  - 3. Would not benefit and are on OAC;
  - 4. Would not benefit and are not on OAC



# Semi-Supervised Machine Learning

- Small Amount of Labeled Data and Large Amounts of Unlabeled Data
- Cheaper and Faster than a Fully Supervised Approach
- More accurate than an unsupervised approach
- Can be used to create models from a mixed dataset. These models can be used for Biosurveillance.
- Example:
  - Intuitively, we can think of the learning problem as an exam and labeled data as the few example problems that the teacher solved in class. The educator also provides a set of unsolved problems. In transductive reasoning, these unsolved problems are a take-home exam questions and you want to do well on them in particular. In inductive reasoning, these are practice problems of the sort you will encounter on the in-class exam.
- NSQIP Murff HJ, FitzHenry F, Matheny ME, Gentry N, Kotter KL, Crimin K, Dittus RS, Rosen AK, Elkin PL, Brown SH, Speroff T. <u>Automated identification of postoperative</u> <u>complications within an electronic medical record using natural language processing.</u> JAMA. 2011 Aug 24;306(8):848-55.
- NVAF Study in press, Circulation, 2017.

Result	Table 1. Comparison of outcomes for Structured and Structuredplus Unstructured data against the gold standard.						
	Outcome	Structured	Structured+NLP	Р			
	Sensitivity	.773 (.68, .79)	1 (.979,1)	<0.001			
	Specificity	.47 (.258, .65)	.444 (.279, .619)	0.317			
	PPV	.91 (.87, .95)	.93 (.893, .956)	0.007			
	NPV	.215(.131, .322)	1 (.713, 1)	<0.001			
	kappa	.156 (.041, .271)	.585 (.414, .733)	<0.001			

- Out of the 96,681 patients identified in the AllScripts EHR database, 2.8% (2722 cases) were identified with NVAF by the Structured+NLP method as opposed to 1.9% for Structured alone (1849 cases) with a difference of 873 cases
- Out of the 96,681 patients identified in the AllScripts EHR database, 2.8% (2722 cases) were identified with NVAF by the Structured+NLP method as opposed to 1.9% for Structured alone (1849 cases) with a difference of 873 cases
- Based on the PPV adjusting the true positive rates for both ICD9 and NLP alone this converts to a 36.3 % improvement identification of true cases in this NVAF cohort.



## Histograms of CHA<sub>2</sub>DS<sub>2</sub>-VASC Scores and HAS-BLED scores

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Result	S:				CHA2DS2-VASc Structured	CHA2DS2-VASc Structured+NLP	CHA2DS2-VASc Gold Standard
Table 2.1. Pea Product Mom					2	9 -	p -
	Structure	ed	Structured+	-NLP			
	estimate (95% CI)	p- value	estimate (95% CI)	p- value	Structured	Structured+NLP	Gold Standard
CHA <sub>2</sub> DS <sub>2</sub> - VASC Score HAS-BLED Score	0.819 (0.775,0.855 ) 0.688 (0.619,0.747 )	<.001	0.898 (0.872,0.92) 0.717 (0.652,0.771 )	<.001	HAS-BLED Structured	HAS-BLED Structured+NLP	HAS-BLED Gold Standard
					U I Z S 4 S 6 7 Structured	Structured+NLP	Gold Standard



Sensitivity and Specificity of Outcomes Compared to Gold Standard				
HAS-BLE	HAS-BLED CHA <sub>2</sub> DS <sub>2</sub> -VASC			
Method: McN	emar	Method: Exact Bi	nomial	
Sensitivit	У	Sensitivity	,	
Structured		Structured	0.942	
Structured+NLP	0.806	Structured+NLP	0.983	
Difference		Difference	0.0413	
Test Statistic	72	Test Statistic	-	
p-value		p-value	0.00195	
Method: McN	emar	Method: Exact Bi	nomial	
Specificit	У	Specificity	r	
Structured	0.947	Structured	0.955	
Structured+NLP		Structured+NLP 0.909		
Difference	-0.17	Difference -0.04		
Test Statistic		Test Statistic -		
p-value	<.0001	p-value 1		
Method: Generaliz		Method: Generalize		
Positive Predicti	ve Value	<b>Positive Predictiv</b>	e Value	
Structured		Structured	0.996	
Structured+NLP	0.867	Structured+NLP	0.992	
Difference		Difference	0.004	
Test Statistic	4.487	Test Statistic	0.915	
p-value	0.034	p-value	0.339	
Negative Predicti	ve Value	Negative Predictiv	ve Value	
Structured		Structured	0.6	
Structured+NLP	0.689	Structured+NLP	0.833	
Difference		Difference	0.233	
Test Statistic	47.757	Test Statistic	11.662	
p-value		p-value	<0.001	

Clinical and Translational Science Institute

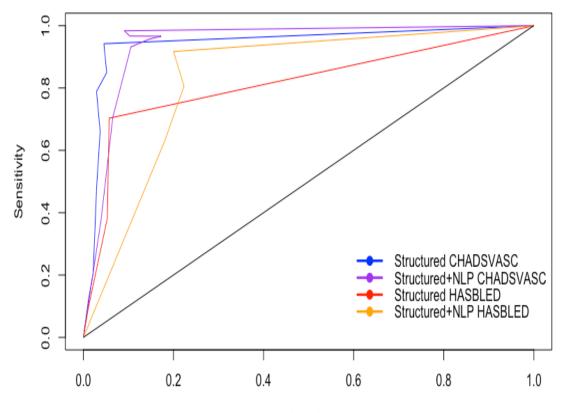
Area under the Curves (AUC) C-Index and Somer's D using Ordinal Logistic Regression (where probabilities are modelled as P(Y>=k|X)) (R rms and Hmisc packages)

C-index Structured *CHA*<sub>2</sub>*DS*<sub>2</sub>-*VASC:* 0.863 (CI:0.838, 0.887) (Somer's D (D<sub>xy</sub>): 0.726, SD=0.025)

C-index Structured+NLP *CHA*<sub>2</sub>*DS*<sub>2</sub>-*VASC:* 0.914 (CI: 0.896, 0.933) (Somer's D (D<sub>xy</sub>): 0.829, SD=0.0185) Z=0.625/.0316=19.776

*CHA*<sub>2</sub>*DS*<sub>2</sub>*-VASC:* Compared to Standard normal distribution\*: 2-Sided p-value: <0.001 1-Sided p-value: <0.001

### ROC curve for Outcome Scores



1-Specificity



## Predictive Risk Model Generation of Requiring Rx with OAC and not being currently on treatment

		Would Benefit and On OAC	Would Benefit and Not on OAC	Would Not Benefit and Are on OAC	Would Not Benefit and Are Not on OAC
	$CHA_2DS_2$ -VASc $\geq 2$ AND HAS-BLED <3 and Contraindication	3	2	0	1
Gold Standard with Contraindication	$CHA_2DS_2$ -VASc $\geq$ 2AND HAS-BLED $\geq$ 3 and Contraindication	6	0	0	1
	$CHA_2DS_2$ -VASc <2 and Contraindication	0	0	0	1
	$CHA_2DS_2$ -VASc $\geq 2$ AND HAS-BLED <3 and No Contraindication	38	15	0	14
Gold Standard with No Contraindication	$CHA_2DS_2$ -VASc <u>&gt;2</u> AND HAS-BLED ≥ 3 and No Contraindication	129	16	1	16
	CHA <sub>2</sub> DS <sub>2</sub> -VASc <2 and No Contraindication	10	3	0	8
	$CHA_2DS_2$ -VASc $\geq 2$ AND HAS-BLED <3 and Contraindication	4	1	0	0
Structured with Contraindication	$CHA_2DS_2$ -VASc $\geq 2$ AND HAS-BLED $\geq 3$ and Contraindication	3	1	0	0
Contraincidation	CHA <sub>2</sub> DS <sub>2</sub> -VASc <2 and Contraindication	0	0	0	0
	$CHA_2DS_2$ -VASc $\geq 2$ AND HAS-BLED <3 and No Contraindication	109	25	0	21
Structured with No Contraindication	$CHA_2DS_2$ -VASc $\geq 2$ AND HAS-BLED $\geq 3$ and No Contraindication	49	5	0	11
Contraindication	CHA <sub>2</sub> DS <sub>2</sub> -VASc <2 and No Contraindication	21	4	1	8
	$CHA_2DS_2$ -VASc $\geq 2$ AND HAS-BLED <3 and Contraindication	2	0	0	1
Structured+NLP with Contraindication	$CHA_2DS_2$ -VASc $\geq$ AND HAS-BLED $\geq$ 3 and Contraindication	6	2	0	1
	$CHA_2DS_2$ -VASc <2 and Contraindication	0	0	0	0
	$CHA_2DS_2$ -VASc $\geq$ 2 AND HAS-BLED <3 and No Contraindication	53	17	1	8
Structured+NLP with No Contraindication	$CHA_2DS_2$ -VASc $\geq 2$ AND HAS-BLED $\geq 3$ and No Contraindication	113	13	0	23
Contraindication	$CHA_2DS_2$ -VASc <2 and No Contraindication	12	4	0	8



## AI Biosurveillance: Population of NVAF in the USA

Population for Rates	Truven	Optum	Total	Event Rates in %
1. All the patients enrolled during Oct 2015 - Sep 2016	32,046,193	31,249,927	63,296,120	
2. (1) and age>=18 in 2016	25,400,465			
3. (2) and with any diagnosis of AF during Oct 2015 - Sep 2016 (first = index date)	422,092	865,072	1,287,164.00	
4. (3) and without VHD diagnosis during 1-year pre-index	355,811	611,990	967,801.00	1.52%
5. (4) and CHADS-VASc $\geq$ 2 and no contraindications to OAC	276,465	539,775	816,240.00	84.34%
6. (5) and Untreated	179,441	316,308	495,749.00	60.74%
Stroke Rate	11,530	10491	22,021.00	4.44%
Death Rate	727	593	1,320.00	5.99%

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Cost the Year After Stroke		PMPM		Annual PM Inflation adjusted Difference
\$11,130.30	\$2,665.40	\$ 8,464.90	\$ 8,253.42	\$ 99,041.00



## Artificial Intelligence Based Disease Surveillance: The Case of NVAF

Extrapolated Results	Structured	Structured Plus Unstructured	Difference Between the Two Methods
NVAF Population	4,955,284	6,754,052	1,798,768
NVAF Population with no contraindications and CHA2DS2-VASc >= 2	4,543,995	6,193,466	1,649,470
NVAF Population needing Treatment	3,009,840	4,102,411	1,092,572
Strokes Prevented	133,637	182,147	48,510
Deaths Prevented	8,005	,	· · ·
Cost Savings*	\$13,235,529,625.06		
* Cost Basis is \$99,041 / Untreated Isc	nemic Stroke's 1st year	after event Cost (1.9% Inflation Ad	justed)



### Strokes Prevented: Biosurveillance of NVAF patient cohorts CHA<sub>2</sub>DS<sub>2</sub>-VASc and HAS-BLED Scores using Natural Language Processing and SNOMED CT

Peter L. Elkin, MD, MACP, FACMI, FNYAM<sup>1</sup>, Sarah Mullin, MS<sup>1</sup>, Chris Crowner, MS<sup>1</sup>, Sylvester Sakilay, MS<sup>1</sup>, Shyamashree Sinha, MD MBA, MPH<sup>1</sup>, Gary Brady, PharmD, MBA<sup>2</sup>, Marcia Wright, PharmD<sup>2</sup>, Kim Nolen, BS, PharmD<sup>2</sup>, JoAnn Trainer, PharmD<sup>2</sup>, Sashank Kaushik, MD, MBA<sup>1</sup>, Jane Zhao, MD<sup>1</sup>, Buer Song, MD, PhD<sup>1</sup>, Edwin Anand, MD<sup>1</sup> <sup>1</sup>University at Buffalo, Buffalo, NY; <sup>2</sup>Pfizer, New York, NY

#### Introduction

Nonvalvular Atrial Fibrillation (NVAF), is estimated to affect 5.8 million people in the US. NVAF results in a five times greater stroke risk. This study compared the accuracy of structured ICD9 vs. electronic health record (EHR) data including clinical note text using Natural Language Processing (NLP), to identify NVAF cases and the CHA<sub>2</sub>DS<sub>2</sub>-VASc and HAS-BLED Scores.

#### Methods

The retrospective EHR cohort study included patients of age 18 to 90 with a diagnosis of NVAF. Following application of the inclusion / exclusion criteria, an electronic model for structured data using ICD-9 criteria and for unstructured data

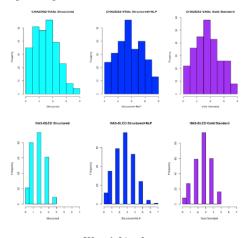
using a NLP to SNOMED CT algorithm, a high throughput phenotyping system that rapidly assigns ontology terms to text in patient records, was applied to identify the NVAF population and their CHA2DS2-VASc and HAS-BLED Scores. A random sample of 300 patients was reviewed independently by two or three clinicians to create the gold standard NVAF cohort with CHA2DS2-VASc and HAS-BLED Scores. **Results** 

Out of the 96,681 patients identified in the AllScripts EHR data, 2.8% (2722 cases) were identified with NVAF by the Structured+NLP method as opposed to 1.9% for Structured alone (1849 cases) with a difference of 873 cases (32.1%, p<0.001). The sensitivity of the structured plus NLP method for the CHA<sub>2</sub>DS<sub>2</sub>-VASc and HAS-BLED was superior to the structured data alone (by 0.04, p=0.002 and 0.42, p<0.001 respectively). Clinical review showed that the untreated & met the criteria for treatment rate was 13.636%.

#### Conclusion

The Structured+NLP data extraction method had a higher sensitivity in comparison to Structured data alone, allowing for an increased number of true positive cases to be identified. If we extend these results nationally, this strategy could identify another 2,098,800 NVAF patients and an excess of 286,192 patients eligible for OAC Rx beyond ICD9 surveillance. This could prevent 11,448 strokes and save 687 lives at a savings of \$832,498,560 each year.





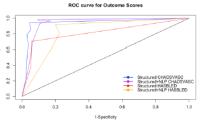


Figure 2: ROC Curve for the CHA<sub>2</sub>DS<sub>2</sub>-VASc and HAS-BLED Surveillance using either the Structured or the Structured Plus Unstructured Methods

Circulation, 2017 Presented at the American Heart Association Meeting



## Conclusions

- Natural Language Processing is not only highly accurate, but also is now providing transaction speeds that make it practical for clinical applications.
- HTP-NLP is available for academic partnerships
- NLP is necessary to practically implement Semantic Interoperability
- Cross Validation of Data from a Variety of Datatypes is necessary to ensure accuracy
- Standardized Phenotypes can be shared and reused to ensure consistent population identification and data interoperability

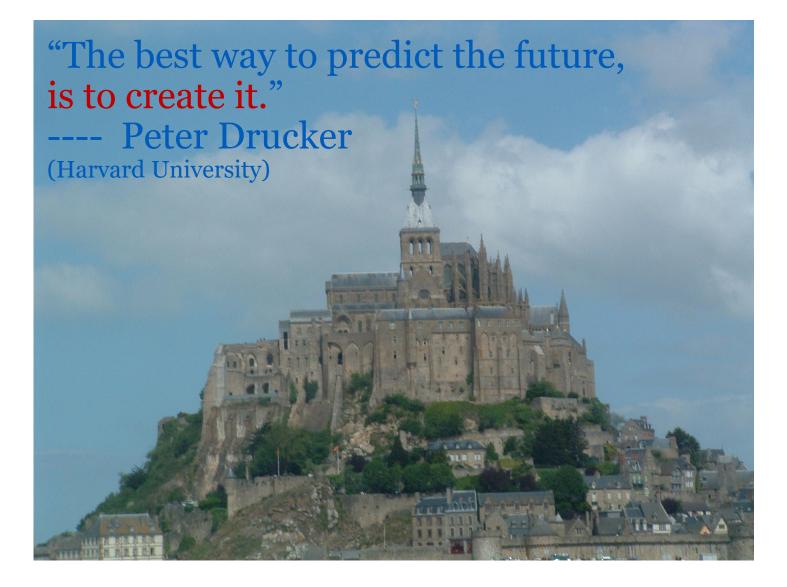
# Conclusions

- Clinical Decision Support assists clinicians in caring for their patients
- Biomedical Informatics partnering with Clinicians toward safer and more effective clinical care
- Biomedical Informatics as a Field deals with more than just computer in medicine
- Clinical Informatics is a new ABMS approved medical subspecialty that trains clinicians as future leaders of healthcare and healthcare organizations.

"...there is nothing more difficult to take in hand, more perilous to conduct, or more uncertain in its success, than to take the lead in the introduction of a new order of things. Because the innovator has for enemies all those who have done well under the old conditions, and lukewarm defenders in those who may do well under the new. "

Nicolo Machiavelli c. 1505





This program is supported by the National Center for Advancing Translational Sciences of the National Institutes of Health under award number UL1TR001412 to the University at Buffalo.



Advancing research discoveries to improve health for all